

## **CERTIFICATE OF MAILING**

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Lancere Sauye
Francene Sawyer

September 3, 2003

Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

: 10/621,590

Applicant Filed

Peter EmigJuly 17, 2003

Title

: New Anthracene Derivatives and Their Use as Pharmaceutical

Preparations

TC/A.U.

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Examiner Docket No.

103832-434-NP

Mail Stop Information Disclosure Statement Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants bring to the attention of the Examiner the documents listed on the attached PTO/SB/08 (substitute for form 1449/PTO). A copy of the listed document is enclosed as well as a copy of the Search Report from the German Patent and Trademark Office.

German Patent No. 19963234 A 1 relates to new N,N-disubstituted piperazine and diazacycloheptane derivatives. A copy of the cover page of its corresponding PCT application is also enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form and returning to undersigned.

This Information Disclosure Statement is being filed before the mailing of a first office action on the merits, and therefore no fee is necessary. However, the Commissioner is still authorized to charge any required fees to Goodwin Procter LLP Deposit Account No. 06-0923.

Dated: September 3, 2003

Respectfully submitted for Applicant,

Q. Hong Xu (Reg. No. 52,378) GOODWIN PROCTER LLP

599 Lexington Avenue New York, NY 10022

(212) 813-8815

PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/621,590		
Filing Date	July 17, 2003		
First Named Inventor	Peter Emig		
Art Unit			
Examiner Name			
Attorney Docket Number	103832-434-NID		

U. S. PATENT DOCUMENTS							
Examiner Initials*	Pr Cite No.1 Document Number  Number-Kind Code <sup>2 (f known)</sup>		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 199 63 234 A 1	01-24-2002	Boehringer Ing.		

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/08B (04-03)

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TENT & TY	orm 1449/PTO			Applicati n Number	10/621,590	
INFO	ORMATION	N DIS	CLOSURE	Filing Dat	July 17, 2003	
STATEMENT BY APPLICANT		First Named Inventor	Peter Emig			
				Art Unit		
	(Use as many sh	eets as n	ecessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	103832-434-NP	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		German Patent and Trademark Office Search Report		
Examiner		Date		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). 
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